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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,853	01/25/2002	Theresa R. Fleischhacker	

10/055,853

Theresa R. Fleischhacker  
1512 PETERS RANCH RD  
DANVILLE, CA 94526

CONFIRMATION NO. 9295

FORMALITIES LETTER



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Date Mailed: 02/26/2002

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Commissioner of Patents and Trademarks  
Washington, District of Columbia 20231

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Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: THERESA R. FLEISCHHACKER

Applicant #2, Name: \_\_\_\_\_

Title: CAT TOP

☒ Specification, Claims, and Abstract: Nr. of Sheets 11

☒ Declaration: Date Signed: 11/11/01

☒ Drawing(s): Nr. of Sheets Enc.: (In Triplicate): Formal: \_\_\_\_\_ Informal: 3

☒ Small Entity Declaration Of Inventor(s) ☐ SED of Non-Inventor / Assignee/Licensee

☐ Assignment; please record and return; recordal fee enclosed.

☒ Check for \$ \_\_\_\_\_ for: \_\_\_\_\_

☒ \$ 355.00 for filing fee (not more than three independent claims and twenty total claims are presented).

☐ \$ \_\_\_\_\_ Additional if Assignment is enclosed for recordal.

☒ Return Receipt Postcard Addressed to Applicant #1.

☒ Request Under MPEP § 707.07(j): The undersigned, a pro-se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Theresa Fleischhacker

Applicant #1 Signature

Applicant #2 Signature

1512 PETERS RANCH RD

Address (Send Correspondence Here)

Address

DAUVILLE, CA 94526

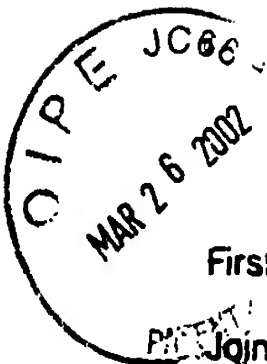
Express Mail Label # \_\_\_\_\_; Date of Deposit 199 \_\_\_\_\_

I hereby certify that this paper or fee is being deposited with the United States Postal Service using 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to 'Commissioner of Patents and Trademarks, Washington, DC 20231.'

Signed: Theresa Fleischhacker

Inventor

Theresa Fleischhacker



**In the United States Patent and Trademark Office**

First/Sole Applicant: Theresa R. Fleischhacker  
Joint/Second Applicant: \_\_\_\_\_  
Title: -CAT TOY

**Small Entity Declaration—Independent Inventor(s)**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed—and am under no obligation under any contract or law to assign, grant, convey, or license—any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed—or am under an obligation under contract or law to assign, grant, convey, or license—any rights in the invention is listed below:

☒ There is no such person, concern, or organization.

☐ Any applicable person, concern, or organization is listed below:

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Theresa Fleischhacker  
Signature of Sole/First Inventor

Theresa Fleischhacker  
Print Name of Sole/First Inventor

Date of Signature: 11/11/01

\_\_\_\_\_  
Signature of Joint/Second Inventor

\_\_\_\_\_  
Print Name of Second/Joint Inventor

\_\_\_\_\_  
Date of Signature: \_\_\_\_\_

\* Note: A separate Small Entity Statement is required from any listed entity.

**Declaration for Utility or Design Patent Application**

As a below-named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name and that I believe that I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

CAT TOY

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please send correspondence and make telephone calls to the First Inventor below.

Signature: Sole/First Inventor: Theresa R. Fleischacker  
Print Name: Theresa R. Fleischacker Date: 11/11/01  
Residence: 1512 Peters Ranch Rd. Citizen Of: USA  
Post Office Address: Danville, CA 94526

Telephone: (925) 743 0857

Signature: Joint/Second Inventor: \_\_\_\_\_  
Print Name: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence: \_\_\_\_\_ Citizen Of: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_

Telephone: \_\_\_\_\_